

# Filtrations and Induced Maps

## Lecture 8 - CMSE 890

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Dept of Computational Mathematics, Science & Engineering

Thurs, Sep 18, 2025

# Goals

Goals for today:

- Filtrations
- Betti curves
- Induced Maps

# Section 1

## Filtrations

# Simplicial Complex Filtration

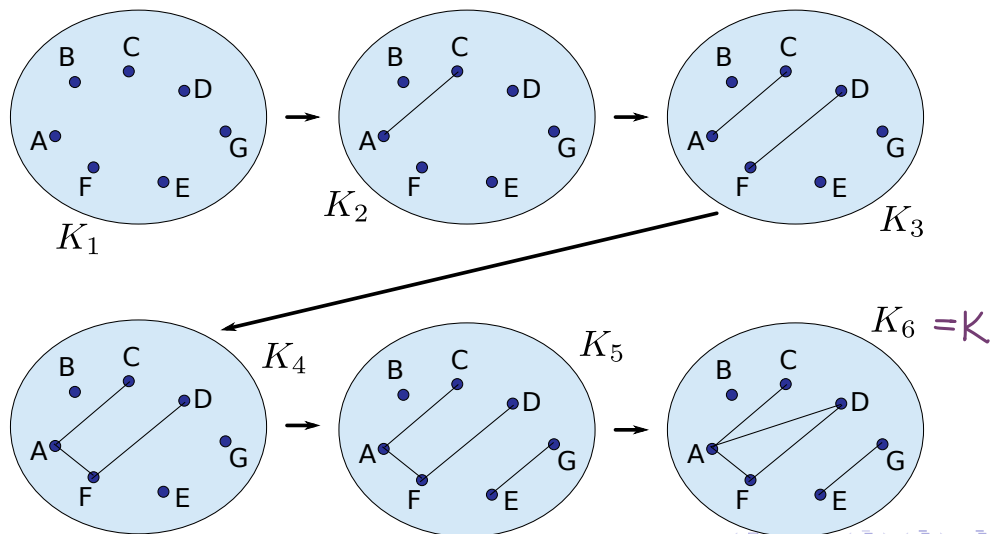
## Definition

A filtration  $\mathcal{F} = \mathcal{F}(K)$  of a simplicial complex  $K$  is a nested sequence of its subcomplexes

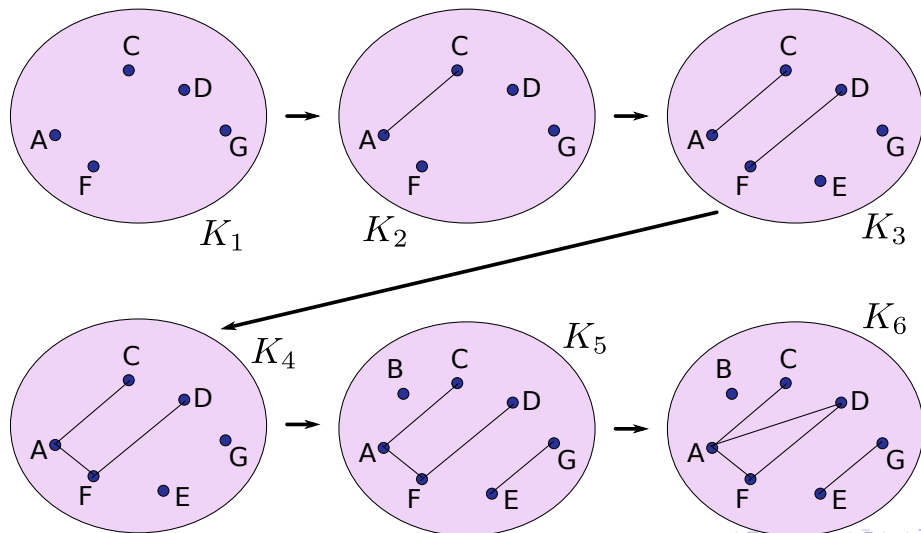
$$\mathcal{F} : \emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K.$$

$\mathcal{F}$  is called *simplex-wise* if  $K_i \setminus K_{i-1}$  is empty or a single simplex.

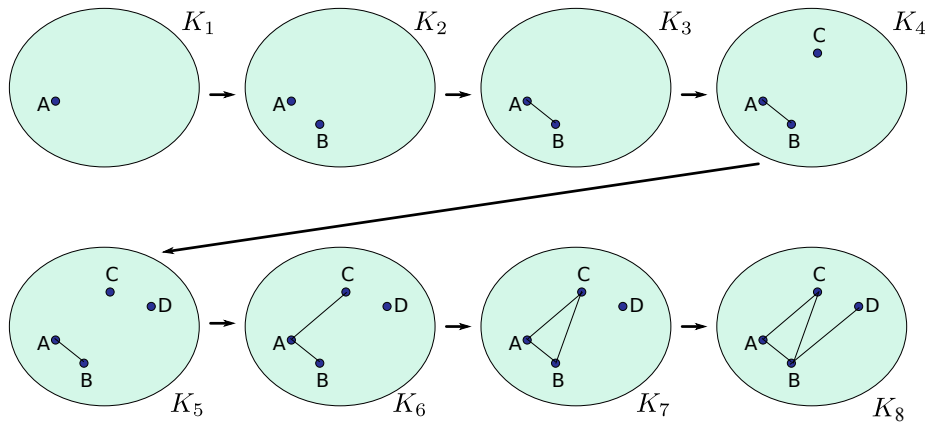
## Example 1



## Example 3



# Example 3

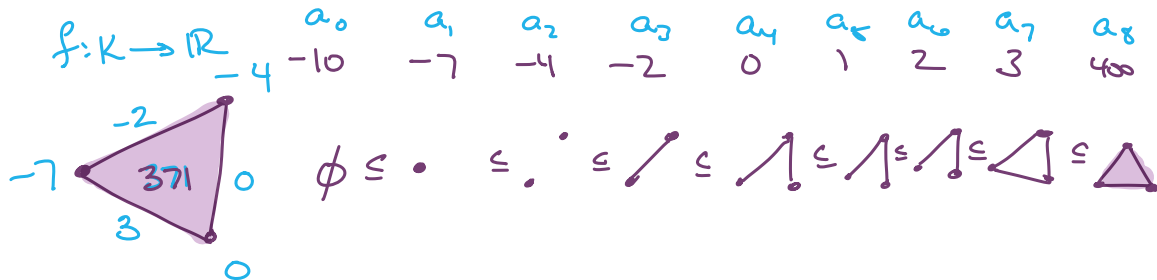


~~(Simplex-wise)~~ monotone function

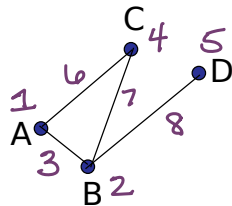
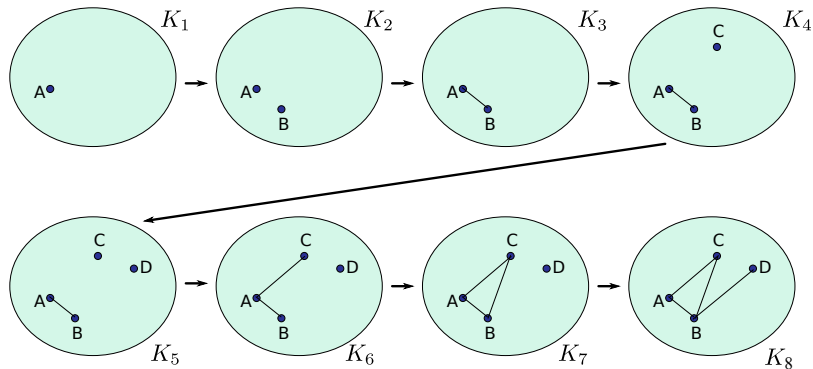
$\rightarrow$  so that  $K_i$  always a simp.  
 monotone if for every  $\tau \leq \sigma$   $f(\tau) \leq f(\sigma)$  <sup>cpx.</sup>

A simplex-wise function  $f : K \rightarrow \mathbb{R}$  is called monotone if for every  $\tau \leq \sigma$ ,  $f(\tau) \leq f(\sigma)$ . Fix values  $a_0 \leq a_1 \leq \dots \leq a_n$ . Let  $K_i = f^{-1}(-\infty, a_i]$ . The sublevelset filtration induced by this function is defined to be

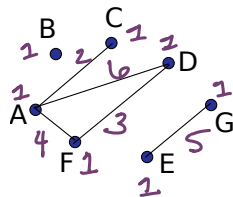
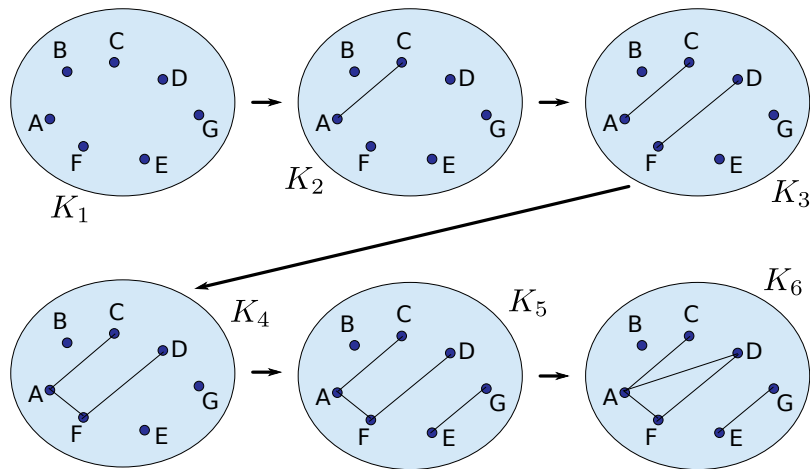
$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K.$$



# Example: Function inducing filtration

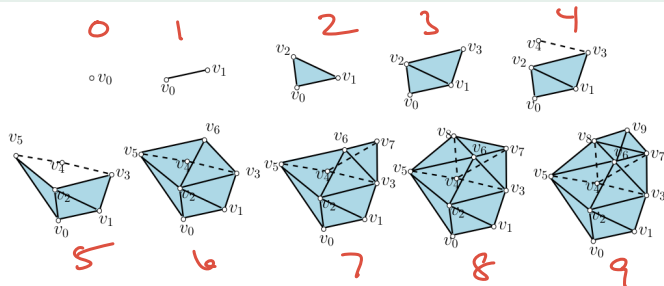


# Tryit: Function inducing filtration



# Everything comes from a function

Vertex valued function (lower star filtration)



$$\text{St}(v) = \{\sigma \in K \mid v \in \sigma\}$$

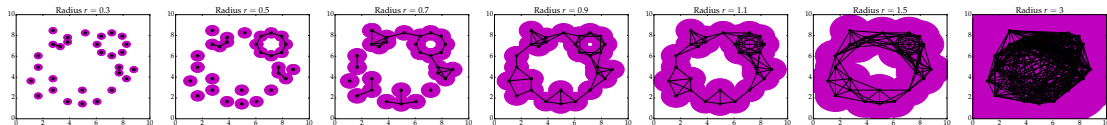
$$\text{St}_-(v) = \{\sigma \in K \mid v \in \sigma, f(\sigma) \leq f(v)\}$$

- Given  $f : V \rightarrow \mathbb{R}$  defined on vertices of  $K$ .
- Extend to simplices by  $f(\sigma) = \max_{v \in \sigma} f(v)$ .

# Everything comes from a function

Rips complex filtration

$$VR(r) = \{\sigma \subset P \mid \|v-w\| \leq 2r \quad \forall v, w \in \sigma\}$$



$K$  = complete simplicial complex on  $n$ -vertices

$$VR(0) = V$$

$$f: K \rightarrow \mathbb{R}$$

$$v \mapsto 0 \quad \forall v \in V$$

$$e \mapsto \|v-w\| \quad e = vw$$

$$\sigma \mapsto \max_{v, w \in \sigma} \|v-w\|$$

## Section 2

### What to do with a filtration: Betti Curves

⌋  
not Cont !  
not curve!

# Betti curve

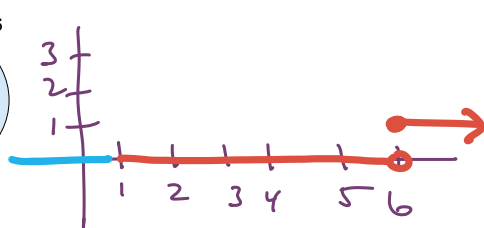
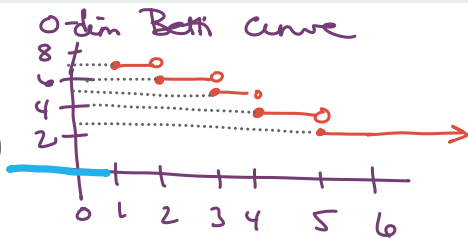
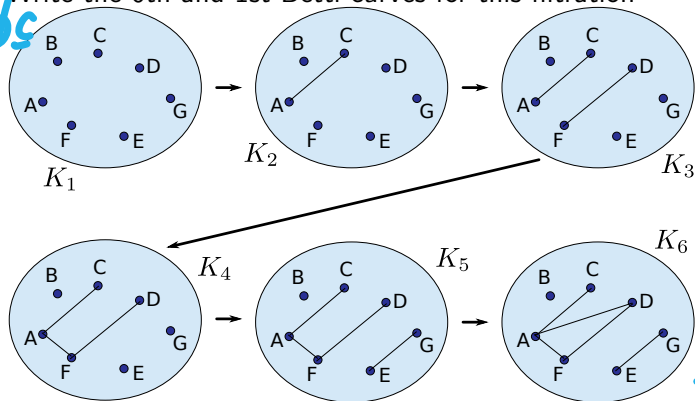
The  $p$ -th Betti curve is a function

$$\beta_p(\mathcal{F}) : \mathbb{R} \rightarrow \mathbb{Z}$$

$$a_i \mapsto \beta_p(K_i) = \text{rk}(H_p(K_i))$$

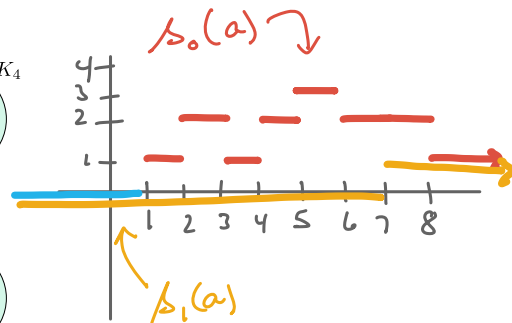
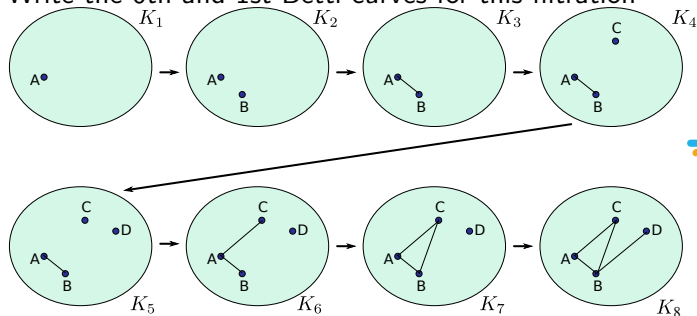
# Example: Betti curves

Write the 0th and 1st Betti curves for this filtration



## Tryit: Betti curves

Write the 0th and 1st Betti curves for this filtration



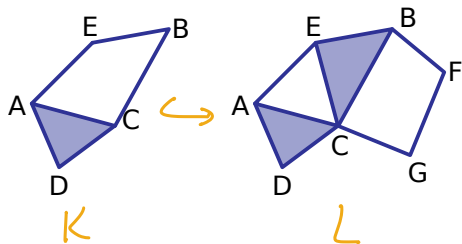
## Section 3

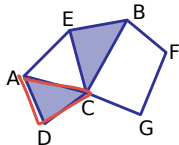
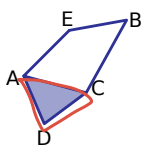
### Induced maps

# Simplicial maps

A simplicial map between abstract simplicial complexes  $f : K \rightarrow L$  is induced by a map on the vertices  $f : V(K) \rightarrow V(L)$ .

(In this class, we care about inclusions.....)

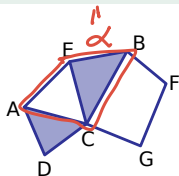
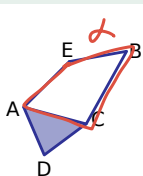


Big diagrams of vector spaces,  $f_{\#}$ 

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & C_2(K) & \xrightarrow{\partial_2^K} & C_1(K) & \xrightarrow{\partial_1^K} & C_0(K) \xrightarrow{\partial_0^K} 0 \\
 & & \downarrow f_{\#} & & \downarrow f_{\#} & & \downarrow f_{\#} \\
 \cdots & \longrightarrow & C_2(L) & \xrightarrow{\partial_2^L} & C_1(L) & \xrightarrow{\partial_1^L} & C_0(L) \xrightarrow{\partial_0^K} 0
 \end{array}$$

$\propto$   
 $AC + CD + AD$   
 $\downarrow$   
 $(f_{\#})$   
 $\propto$   
 $AC + CD + AD$

# Induced map $f_*$

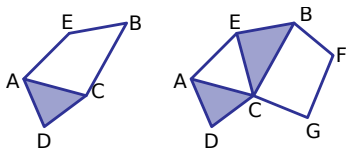


Given a simplicial map  $f : K \rightarrow L$ , the induced map on homology is defined by

$$\begin{aligned} f_* : H_p(K) &\rightarrow H_p(L) \\ [\alpha] &\mapsto [f_{\#}(\alpha)] \end{aligned}$$

$$\alpha = AE + EB + CB + AC$$

## Commutative diagram



Claim:  $f_{\#} \circ \partial^K = \partial^L \circ f_{\#}$ .

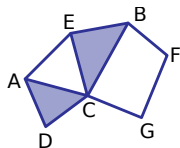
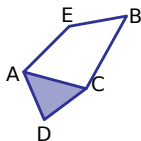
A diagram commutes if any path of functions are equal.

$$\begin{array}{ccccccc}
 \dots & \longrightarrow & C_2(K) & \xrightarrow{\partial_2^K} & C_1(K) & \xrightarrow{\partial_1^K} & C_0(K) \xrightarrow{\partial_0^K} 0 \\
 & & \downarrow f_{\#} & & \downarrow f_{\#} & & \downarrow f_{\#} \\
 \dots & \longrightarrow & C_2(L) & \xrightarrow{\partial_2^L} & C_1(L) & \xrightarrow{\partial_1^L} & C_0(L) \xrightarrow{\partial_0^L} 0
 \end{array}$$

Handwritten red annotations:

- Top path:  $AC + CB \mapsto A + D$
- Bottom path:  $AC + CD \mapsto A + D$

# Effect on cycles



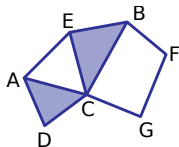
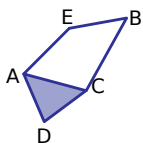
Cycles go to cycles!

$$\begin{array}{ccccccc}
 \dots & \longrightarrow & C_2(K) & \xrightarrow{\partial_2^K} & C_1(K) & \xrightarrow{\partial_1^K} & C_0(K) \xrightarrow{\partial_0^K} 0 \\
 & & \downarrow f_{\#} & & \downarrow f_{\#} & & \downarrow f_{\#} \\
 \dots & \longrightarrow & C_2(L) & \xrightarrow{\partial_2^L} & C_1(L) & \xrightarrow{\partial_1^L} & C_0(L) \xrightarrow{\partial_0^K} 0
 \end{array}$$

Handwritten red notes:

- Top:  $AC + CD + AD \mapsto 0$
- Bottom:  $AC + CD + AD \mapsto 0$
- A red arrow points from the  $0$  in the top row to the  $0$  in the bottom row.

# Effect on boundaries

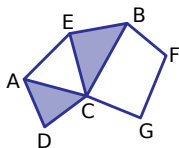
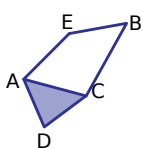


Boundaries go to boundaries!

$$\begin{array}{ccccccc}
 \dots & \longrightarrow & C_2(K) & \xrightarrow{\partial_2^K} & C_1(K) & \xrightarrow{\partial_1^K} & C_0(K) \xrightarrow{\partial_0^K} 0 \\
 & & \downarrow f_{\#} & & \downarrow f_{\#} & & \downarrow f_{\#} \\
 \dots & \longrightarrow & C_2(L) & \xrightarrow{\partial_2^L} & C_1(L) & \xrightarrow{\partial_1^L} & C_0(L) \xrightarrow{\partial_0^K} 0
 \end{array}$$

Handwritten red annotations:
   
 Above  $C_2(K)$ :  $ACD \mapsto AC + CD + AD$ 
  
 Above  $C_1(K)$ :  $AC + CD + AD$ 
  
 Below  $C_2(L)$ :  $ACD \mapsto AC + CD + AD$

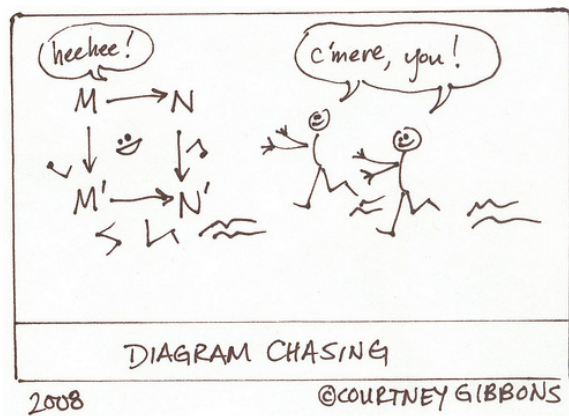
# Repeat: Induced map



Given a simplicial map  $f : K \rightarrow L$ , the induced map on homology is defined by

$$\begin{aligned} f_* : H_p(K) &\rightarrow H_p(L) \\ [\alpha] &\mapsto [f_{\#}(\alpha)] \end{aligned}$$

# Diagram Chasing



Try it:

If  $H_1(K)$  generated by

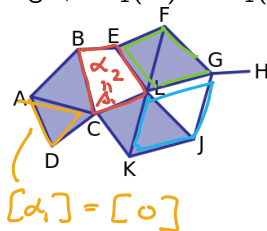
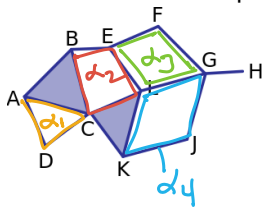
$$[\alpha_1] = [AC + CD + AD]$$

$$[\alpha_2] = [BE + EL + CL + CB]$$

$$[\alpha_3] = [EF + FG + GL + EL]$$

$$[\alpha_4] = [GL + GJ + JK + LK]$$

write the matrix representing  $f_* : H_1(K) \rightarrow H_1(L)$  induced by inclusion.



$$[\alpha_i] \in H_1(L)$$

$$[\alpha_3] = [\partial(EFL + LFG)]$$

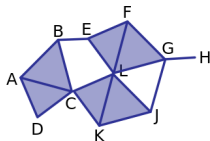
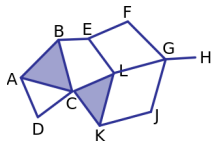
and  $H_1(L)$  generated by

$$[\beta_1] = [BE + EL + CL + CB]$$

$$[\beta_2] = [GL + GJ + LJ]$$

$$\begin{matrix} [\alpha_1] & [\alpha_2] & [\alpha_3] & [\alpha_4] \\ \begin{bmatrix} \beta_1 \\ \beta_2 \end{bmatrix} \end{matrix} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

# More spare paper



$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & C_2(K) & \xrightarrow{\partial_2^K} & C_1(K) & \xrightarrow{\partial_1^K} & C_0(K) \xrightarrow{\partial_0^K} 0 \\
 & & \downarrow f_{\#} & & \downarrow f_{\#} & & \downarrow f_{\#} \\
 \cdots & \longrightarrow & C_2(L) & \xrightarrow{\partial_2^L} & C_1(L) & \xrightarrow{\partial_1^L} & C_0(L) \xrightarrow{\partial_0^K} 0
 \end{array}$$

## Next time: Persistence module

Given a filtration  $\mathcal{F}$ , the  $p$ -dimensional persistence module is

$$H_p(\mathcal{F}) : 0 = H_p(K_0) \rightarrow H_p(K_1) \rightarrow H_p(K_2) \cdots \rightarrow H_p(K_n) = H_p(K)$$

with maps induced by inclusion.

# For next time

- No class 9/23 or 9/25
- Everyone homework:
  - ▶ Find the AATRN youtube channel
  - ▶ Find one of the Tutorial-a-thon playlists
  - ▶ Watch one video (or more, they're largely less than 15 minutes long). Write a few sentences about what you learned and any questions you have and post it with a link to the video on our slack channel.
  - ▶ Comment on at least one other person's post.